

# Caledonia Mining Corporation Plc: Notification of relevant change to significant shareholder

12.11.2020 | [GlobeNewswire](#)

ST HELIER, Nov. 12, 2020 - [Caledonia Mining Corporation plc](#) (&#8220;Caledonia&#8221; or the &#8220;Company&#8221;) (NYSE AMERICAN: CMCL; AIM: CMCL) announces that it received notification on November 11, 2020, from BlackRock, Inc., which is a &#8220;significant shareholder&#8221; of the Company as defined by the AIM Rules for Companies, that it has increased its interest in the Company and on November 10, 2020 crossed a particular threshold for notification of its holdings in the Company. A copy of the notification is below.

TR-1: Standard form for notification of major holdings

NOTIFICATION OF MAJOR HOLDINGS (to be sent to the relevant issuer and to the FCA in Microsoft Word format if p

1a. Identity of the issuer or the underlying issuer of existing shares to which voting rights are attached<sup>ii</sup>:

1b. Please indicate if the issuer is a non-UK issuer (please mark with an &#8220;X&#8221; if appropriate)

Non-UK issuer

2. Reason for the notification (please mark the appropriate box or boxes with an &#8220;X&#8221;)

An acquisition or disposal of voting rights

An acquisition or disposal of financial instruments

An event changing the breakdown of voting rights

Other (please specify)<sup>iii</sup>:

3. Details of person subject to the notification obligation<sup>iv</sup>

Name

City and country of registered office (if applicable)

4. Full name of shareholder(s) (if different from 3.)<sup>v</sup>

Name

City and country of registered office (if applicable)

5. Date on which the threshold was crossed or reached<sup>vi</sup>:

6. Date on which issuer notified (DD/MM/YYYY):

7. Total positions of person(s) subject to the notification obligation

% of voting rights attached

Resulting situation on the date on which threshold was crossed or reached

5.07%

Position of previous notification (if applicable)

4.80%

8. Notified details of the resulting situation on the date on which the threshold was crossed or reached<sup>viii</sup>

A: Voting rights attached to shares

| Class/type of shares    | Number of voting rights <sup>ix</sup>     | % of voting rights                        |
|-------------------------|-------------------------------------------|-------------------------------------------|
| ISIN code (if possible) | Direct                                    | Direct                                    |
| JE00BF0XVB15            | (Art 9 of Directive 2004/109/EC) (DTR5.1) | (Art 9 of Directive 2004/109/EC) (DTR5.1) |
|                         | 615,577                                   | 615,577                                   |
| SUBTOTAL 8. A           | 615,577                                   | 5.07%                                     |

B 1: Financial Instruments according to Art. 13(1)(a) of Directive 2004/109/EC (DTR5.3.1.1 (a))

| Type of financial instrument | Expiration date <sup>x</sup> | Exercise of voting rights that may be exercised or waived |
|------------------------------|------------------------------|-----------------------------------------------------------|
|------------------------------|------------------------------|-----------------------------------------------------------|

Securities Lending 25,200

~~35,200~~ TOTAL 8. B 1

B 2: Financial Instruments with similar economic effect according to Art. 13(1)(b) of Directive 2004/109/EC (DTR5.3.1.1)

| Type of financial instrument | Expiration date <sup>x</sup> | Physical or cash<br>Number of voting rights<br>Cancellation Period <sup>x</sup> |
|------------------------------|------------------------------|---------------------------------------------------------------------------------|
| CFD                          |                              | 32,901                                                                          |

~~32,901~~ TOTAL 8.B.2

9. Information in relation to the person subject to the notification obligation (please mark the applicable box with an &#

Person subject to the notification obligation is not controlled by any natural person or legal entity and does not control any other undertaking(s) holding directly or indirectly an interest in the (underlying) issuer<sup>xiii</sup>

Full chain of controlled undertakings through which the voting rights and/or the financial instruments are effectively held starting with the ultimate controlling natural person or legal entity<sup>xiv</sup> (please add additional X rows as necessary)

| Name <sup>xv</sup> | % of voting rights if it equals or is higher than the notifiable threshold | % of voting rights through financial instruments if it equals or is higher than the notifiable threshold | Total of both higher than threshold |
|--------------------|----------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------|-------------------------------------|
|--------------------|----------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------|-------------------------------------|

See Attachment

10. In case of proxy voting, please identify:

Name of the proxy holder

The number and % of voting rights held

The date until which the voting rights will be held

11. Additional information<sup>xvi</sup>

BlackRock Regulatory Threshold Reporting Team

James Michael

020 7743 3650

Place of completion 12 Throgmorton Avenue, London, EC2N 2DL, U.K.

Date of completion 11 November, 2020

## Section 9 Attachment

| Name <sup>xv</sup> | % of voting rights if it equals or is higher than the notifiable threshold | % of voting rights through financial instruments if it equals or is higher than the notifiable threshold |
|--------------------|----------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------|
|--------------------|----------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------|

BlackRock, Inc.

BlackRock Holdco 2, Inc.

BlackRock Financial Management, Inc.

BlackRock Holdco 4, LLC

BlackRock Holdco 6, LLC

BlackRock Delaware Holdings Inc.

BlackRock Institutional Trust Company, National Association

BlackRock, Inc.  
BlackRock Holdco 2, Inc.  
BlackRock Financial Management, Inc.  
BlackRock International Holdings, Inc.  
BR Jersey International Holdings L.P.  
BlackRock (Singapore) Holdco Pte. Ltd.  
BlackRock HK Holdco Limited  
BlackRock Lux Finco S.a.r.l.  
BlackRock Japan Holdings GK  
BlackRock Japan Co., Ltd.  
  
BlackRock, Inc.  
Trident Merger, LLC  
BlackRock Investment Management, LLC  
  
BlackRock, Inc.  
BlackRock Holdco 2, Inc.  
BlackRock Financial Management, Inc.  
BlackRock International Holdings, Inc.  
BR Jersey International Holdings L.P.  
BlackRock Holdco 3, LLC  
BlackRock Canada Holdings LP  
BlackRock Canada Holdings ULC  
BlackRock Asset Management Canada Limited  
  
BlackRock, Inc.  
BlackRock Holdco 2, Inc.  
BlackRock Financial Management, Inc.  
BlackRock Holdco 4, LLC  
BlackRock Holdco 6, LLC  
BlackRock Delaware Holdings Inc.  
BlackRock Fund Advisors  
  
BlackRock, Inc.  
BlackRock Holdco 2, Inc.  
BlackRock Financial Management, Inc.  
  
BlackRock, Inc.  
BlackRock Holdco 2, Inc.  
BlackRock Financial Management, Inc.  
BlackRock Capital Holdings, Inc.  
BlackRock Advisors, LLC

For further information please contact:

[Caledonia Mining Corporation plc](#)

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|                      |                       |
|----------------------|-----------------------|
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|                 |                      |
|-----------------|----------------------|
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The information contained within this announcement is deemed by the Company to constitute inside

information under the Market Abuse Regulation (EU) No. 596/2014.

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