

Caledonia Mining Corporation Plc Notification of relevant change to significant shareholder

29.09.2020 | [GlobeNewswire](#)

ST HELIER, Sept. 29, 2020 - [Caledonia Mining Corporation plc](#) (NYSE AMERICAN: CMCL; AIM: CMCL) ("Caledonia" or the "Company") announces that it received notification on September 28, 2020, from BlackRock, Inc., which is a "significant shareholder" of the Company as defined by the AIM Rules for Companies, that it has slightly increased its interest in the Company and on September 25, 2020 crossed a particular threshold for notification of its holdings in the Company. A copy of the notification is below.

TR-1: Standard form for notification of major holdings

NOTIFICATION OF MAJOR HOLDINGS (to be sent to the relevant issuer and to the FCA in Microsoft Word format if p

1a. Identity of the issuer or the underlying issuer of existing shares to which voting rights are attachedⁱⁱ: [Caledonia Min](#)

1b. Please indicate if the issuer is a non-UK issuer (please mark with an “X” if appropriate)

Non-UK issuer X

2. Reason for the notification (please mark the appropriate box or boxes with an “X”)

An acquisition or disposal of voting rights X

An acquisition or disposal of financial instruments

An event changing the breakdown of voting rights

Other (please specify)ⁱⁱⁱ:

3. Details of person subject to the notification obligation^{iv}

Name

BlackRock, Inc

City and country of registered office (if applicable)

Wilmington, D

4. Full name of shareholder(s) (if different from 3.)^v

Name

City and country of registered office (if applicable)

5. Date on which the threshold was crossed or reached^{vi}:

25/09/2020

6. Date on which issuer notified (DD/MM/YYYY):

28/09/2020

7. Total positions of person(s) subject to the notification obligation

% of voting rights
(total of 8.B.1)

Resulting situation on the date on which threshold was crossed or reached

0.02%

Position of previous notification (if applicable)

0.02%

8. Notified details of the resulting situation on the date on which the threshold was crossed or reached^{viii}

A: Voting rights attached to shares

% of voting rights^{ix}

Class/type of shares

ISIN Code (if possible)

(Art 90b Directive 2004/109/EC) (DTR 5.1.1)

5103700XVB15

5103700

5103700

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B 1: Financial Instruments according to Art. 13(1)(a) of Directive 2004/109/EC (DTR5.3.1.1 (a))

Expiration date ^x	Type of financial instrument	Exercise of voting rights % of voting rights	Exercise of voting rights % of voting rights
	Securities Lending	0,00%	0,00%
			0,00% TOTAL 8. B 1

B 2: Financial Instruments with similar economic effect according to Art. 13(1)(b) of Directive 2004/109/EC (DTR5.3.1.1 (b))

Type of financial instrument	Exercise of cash	Exercise of cash	% of voting rights
CFD	32,901	32,901	0.27%
		32,901	0.27%
			0.27% TOTAL 8.B.2

9. Information in relation to the person subject to the notification obligation (please mark the applicable box with an &#x2715;)

Person subject to the notification obligation is not controlled by any natural person or legal entity and does not control a subsidiary of the (underlying) issuer^{xiii}

Full chain of controlled undertakings through which the voting rights and/or the financial instruments are effectively held starting with the ultimate controlling natural person or legal entity^{xiv} (please attach list of controlled undertakings)

Name^{xv} % of voting rights if it equals or is higher than the notifiable threshold % of voting rights through financial instruments
See Attachment

10. In case of proxy voting, please identify:

Name of the proxy holder

The number and % of voting rights held

The date until which the voting rights will be held

11. Additional information^{xvi}

BlackRock Regulatory Threshold Reporting Team

Jana Blumenstein

020 7743 3650

Place of completion 12 Throgmorton Avenue, London, EC2N 2DL, U.K.

Date of completion 28 September, 2020

Section 9 Attachment

Name^{xv} % of voting rights if it equals or is higher than the notifiable threshold
BlackRock, Inc.
BlackRock Holdco 2, Inc.
BlackRock Financial Management, Inc.
BlackRock Holdco 4, LLC
BlackRock Holdco 6, LLC
BlackRock Delaware Holdings Inc.
BlackRock Institutional Trust Company, National Association

BlackRock, Inc.
BlackRock Holdco 2, Inc.
BlackRock Financial Management, Inc.
BlackRock Holdco 4, LLC
BlackRock Holdco 6, LLC
BlackRock Delaware Holdings Inc.
BlackRock Fund Advisors

BlackRock, Inc.
Trident Merger, LLC
BlackRock Investment Management, LLC

BlackRock, Inc.
BlackRock Holdco 2, Inc.
BlackRock Financial Management, Inc.
BlackRock Capital Holdings, Inc.
BlackRock Advisors, LLC

BlackRock, Inc.
BlackRock Holdco 2, Inc.
BlackRock Financial Management, Inc.
BlackRock International Holdings, Inc.
BR Jersey International Holdings L.P.
BlackRock (Singapore) Holdco Pte. Ltd.
BlackRock HK Holdco Limited
BlackRock Lux Finco S.a.r.l.
BlackRock Japan Holdings GK
BlackRock Japan Co., Ltd.

BlackRock, Inc.
BlackRock Holdco 2, Inc.
BlackRock Financial Management, Inc.

BlackRock, Inc.
BlackRock Holdco 2, Inc.
BlackRock Financial Management, Inc.
BlackRock International Holdings, Inc.
BR Jersey International Holdings L.P.
BlackRock Holdco 3, LLC
BlackRock Canada Holdings LP
BlackRock Canada Holdings ULC
BlackRock Asset Management Canada Limited

For further information please contact:

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